IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Confirmation No. : 4009

Applicant : Andreas Habermann, et al

Serial No. : 10/550,793

Filed : May 31, 2006

For : ROLLING MILL, ESPECIALLY A SWAGE ROLLING

MILL, FOR HEATED OPERATION

Examiner : Dmitry Suhol

Art Unit : 3725

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

SIR:

The attention of the Examiner and of the Patent and Trademark Office is hereby directed to the references cited in a parallel pending patent application Taiwan publication no. 921072394. The references are listed on the attached form SB-08a. Copies of the references are forwarded herewith.

Furthermore, Soviet Union reference SU132178A1 is not readily available at this time. English language abstracts for Soviet Union references and Japanese reference JP4819463 are also not readily available at this time.

A credit card payment in the amount of \$ 180.00 to cover the fee is enclosed. Should any additional fee be required at this time, the Commissioner is authorized to charge such fee, or credit any overpayment, to Deposit Account No. 02-2275.

With the submission of this admitted prior art, applicant thus complies with the duty of disclosure as set forth at 37 CFR 1.56.

Respectfully submitted, LUCAS & MERCANTI, LLP

By:

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Dated: October 1, 2010

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CERTIFICATE OF ELECTRONIC TRANSMISSION

I hereby certify that this document is being electronically transmitted to the Commissioner for Patents via EFS-Web on October 1, 2010.

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Klaus P. Stoffel, Reg. No. 31,668

Dated: October 1, 2010